



DIVISION

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2006 DEC 27 PM 4:07

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Federico **PERNI**, et al

Serial No.: 10/840,193

Group No. 3682

Filed: May 6, 2004

Examiner: T. Boes

For: BALL SCREW

Attorney Docket No.: U 015183-5

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR REFUND

Deposit Account 12-0425 was charged \$400.00 Independent claims over three (Fee Code 2201) and \$425.00 for claims in excess of twenty (Fee Code 2202) on September 19, 2006 (REF TXT NO. 10840193).

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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**37 C.F.R. 1.8(a)**

- ☒ with sufficient postage as first class mail.

**37 C.F.R. 1.10\***

- ☐ as "Express Mail/Post Office to Addressee"  
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**TRANSMISSION**

- ☐ transmitted by facsimile to the Patent and Trademark Office, to (571) 273-8300

Date: December 19, 2006

Signature

CLIFFORD J. MASS

(type or print name of person certifying)

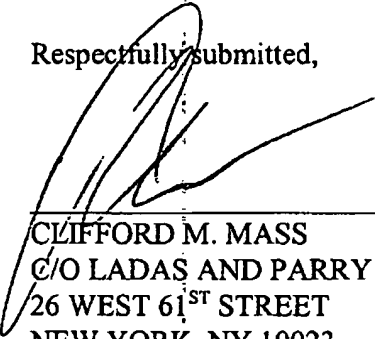
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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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However, this application has a total of ten (10) claims of which only one (1) claim is independent. See amendment of September 13, 2006 in which applicant inadvertently and by error authorized the Patent Office on the Transmittal letter to charge the above fees (small entity)

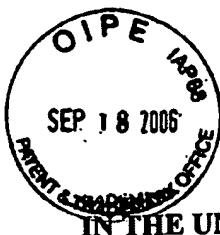
Therefore, refund of the overpayment from the authorization error totaling \$825.00 by credit to Deposit Account 12-0425 is requested.

Respectfully submitted,



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CLIFFORD M. MASS  
C/O LADAS AND PARRY LLP  
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REG. NO. 30,086; (212) 708-1890



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Alexandria, VA 22313-1450

Sir:

**RESPONSE TO ELECTION REQUIREMENT MAILED JULY 14, 2006**

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***  
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37 C.F.R. 1.8(a)

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Date: September 13, 2006

Signature

Julian H. Cohen

(type or print name of person certifying)

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Adjustment date: 01/24/2007 SFELEKE1  
09/19/2006 NNGUYEN1 00000037 120425 10840193  
02 FC:2201 400.00 CR  
03 FC:2202 425.00 CR

09/19/2006 NNGUYEN1 00000037 120425 10840193

02 FC:2201 400.00 DA  
03 FC:2202 425.00 DA